# PROGRAM STATEMENT

# FY 2019 Shore and Harbor Planning Grant Program

April 6, 2018 Issued by:

Maine Coastal Program

Maine Department of Marine Resources
21 State House Station

Augusta, Maine 04333





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#### **HIGHLIGHTS**

## 1. This announcement includes the following grant program:

- Shore and Harbor Management Grant Program, are due Friday, May 18<sup>th</sup>, 2018, 2 P.M.
- In past years, this Program Statement included the Coastal Communities Grant Program (CCG) funded by the Maine Coastal Program and administered by the Maine Municipal Planning and Assistance Program (MPAP) at the Maine Department of Agriculture, Conservation and Forestry. At the time of the issuance of this Program Statement, MPAP may choose to issue a separate call for projects later in 2018 based on the availability of funds.
- Grant program funds <u>cannot</u> be used for construction, purchase of construction materials, acquisition of land, or permit fees.
- Projects reliant on title, right, or interest of a particular location for success, must supply documentation of such. This will not be counted toward the 10-page application limit.

# SECTION 1. MAINE COASTAL PROGRAM SHORE AND HARBOR PLANNING GRANTS

#### 1.1 Background

Created in 1978, the Maine Coastal Program is a federal, state, local partnership under the Coastal Zone Management Act of 1972 and one of 34 coastal programs nationwide. Maine's program is a collaborative program that works in partnership with other state agencies, municipalities, regional and other organizations, with the Maine Department of Marine Resources serving as the lead agency.

The MCP works to sustain coastal resources and enhance the coastal-dependent economy through:

- Coastal community planning
- Effective administration of state environmental laws
- Public access planning
- Habitat restoration
- Reduction of non-point source pollution
- Support of coastal stewardship through education, outreach, and volunteer support
- Innovative initiatives in special coastal places.

More information about the Maine Coastal Program can be found at: <a href="http://www.maine.gov/dmr/mcp/index.htm">http://www.maine.gov/dmr/mcp/index.htm</a>

The Maine Coastal Program works collaboratively with the Maine Submerged Lands Program, a division of the Maine Department of Agriculture, Conservation and Forestry. The Submerged Lands Program is involved in the selection of successful applicants and provides considerable funding towards enhancing the Shore and Harbor Management grant's goals and mission. More information about the Maine Submerged Lands Program can be found here:

http://www.maine.gov/dacf/parks/about/submerged\_lands.shtml.

# 1.2 Eligibility

Those eligible to apply for a Shore and Harbor Planning Grant include towns and unorganized townships in Maine's coastal zone, groups of towns/townships in Maine's coastal zone; coastal Regional Planning Commissions; and coastal Councils of Government. Partnerships are encouraged and those proposals documenting strong partnerships that further ensure the success of the project are strongly encouraged. Towns and townships in Maine's coastal zone are listed in the appendix to this Program Statement. You can also access the list and a map here: http://www.maine.gov/dmr/mcp/about/index.htm.

Coastal Regional Planning Commissions and coastal Councils of Government are defined as having some or all of their geography within the Maine coastal zone. Note, however, that funds from this grant program can only be used in the coastal zone covered by these organizations.

## 1.3 Grant Size and Duration

The Maine Coastal Program plans to allocate approximately \$150,000 for projects under this FY19 Program Statement. The maximum award is \$30,000

#### 1.4 Cancellation Notice

The State reserves the right to cancel this Program Statement at any time.

#### 1.5 Final Action

Awards made through this program are considered final and are not subject to internal agency appeal. Any person aggrieved by a decision may pursue judicial review according to the provisions of 5 MRS§§ 11001 – 11008 within 30 days of the agency decision.

## **SECTION 2. GRANT PROGRAM DETAILS**

# 2.1 Description

Shore and Harbor Management Grants promote: sound waterfront planning and harbor management, balanced development of shore and harbor areas, advance planning for waterfront infrastructure improvements and access to the shore. Funds may be used for development of plans for waterfront, harbor and mooring areas, development of regulatory and non-regulatory approaches to waterfront conservation and improvement, development of planning studies for public and working access, development of plans and designs for harbor improvements, and development of management plans for municipal waterfront facilities.

A list of potential projects is provided below. Projects are not limited to those listed below, but instead presented as guidance. Shore and Harbor grants are meant to support planning activities that complement or lead to concrete actions, harbor improvements, and management activities in harbor or shorefront areas. These funds may not be used for capital improvements, permit fees, or on-going staff activities. Project funding is provided by both the Maine Coastal Program and the Maine Submerged Lands Program.

Applicants are encouraged to consider projects that contribute to multi-town or regional planning and resource management efforts such as addressing shared bay-wide harbor management and use issues, enhancing efforts to acquire and/or secure public recreational and working access to tidal waters, supporting marine resource management activities, advancing strategic land conservation planning efforts, and contributing to waterfront develop efforts for public access and sustainable eco-tourism.

1. <u>Harbor Planning (especially to implement recommendations consistent with comprehensive plans), including</u> – Development of Harbor Management Plans, creation of Harbor Ordinances, preparation of Mooring Plans, with attendant data bases and mapping support, data collection, mapping, data base development, and analysis activities needed to support harbor planning activities, harbor traffic control and safety planning.

- 2. Planning and Design Projects for Harbor Improvements Integration of public access with waterfront development to preserve unique natural, cultural, and village assets that contribute to sustainable development and tourism infrastructure. Planning and design of facilities and improvements for public and working access, dredging studies (non-federal, and for federal match), support for research and demonstration of new materials and techniques for ramps, piers and wharfs, floats, and moorings. Development of plans or strategies to address the impact of coastal storms and flooding on waterfront infrastructure. Planning and design of improvements to existing and aging infrastructure so that it may better serve the waterfront community into the future.
- 3. <u>Municipal Facilities Management Plans</u> Management and business plans for operations of municipal fishing piers, marinas, and water access sites and facilities, development of related rules and ordinances, creation of model or standardized lease arrangements for municipal use.
- 4. <u>Working Waterfront Planning and Improvement Projects</u> Project planning and development support for projects seeking funding from the Working Waterfront Access Protection Program
- 5. <u>Right of Way Rediscovery Projects</u> Research to help communities find and assert public rights-of-way to the shore. Funding provided under this category can be used for deed and legal research and property surveys.
- 6. <u>Harbor Dredging-related Research Projects</u> Research to help communities identify and quantify the socio-economic value of federally-designated, shallow-draft harbors maintained by the Army Corps of Engineers (ACOE). Socio-economic research that documents these values can assist a coastal community in working with the ACOE and Maine's congressional delegation to secure federal funding for ACOE maintenance dredging of small, shallow-draft harbors.

#### 2.2 Match

A match, in cash or in-kind, of 25% of total project cost is required. Projects showing a substantial additional municipal share will improve the competitiveness of the project proposal. The project match can include cash and/or documented values of in-kind services. Towns will be required to provide verification of match at a point during the award period.

# 2.3 Proposal Guidelines and Required Format

Grant applications cannot exceed \$30,000. Applications are due by 2:00 pm local time on Friday, **May 18<sup>th</sup>**, **2018.** Project proposals will be reviewed and evaluated by a review committee. Final project selection and amount of grant award will be made by the Department of Marine Resources, Maine Coastal Program.

Project applications should include the following sections and information:

#### **Cover Sheet (or cover letter)**

Project Title

Town or region covered

Grant request amount

Total Match

Project Manager and contact information

Project partners/supporters

Project start and end dates (month and year)

Project summary statement (3-5 sentences)

#### **Executive Summary**

This is a concise summary of the project, methods used to complete the project and deliverables produced. Length should not exceed one or two brief paragraphs.

#### **Project Description**

This is an explanation of the need (problems and issues) for the project and proposed use of the grant award. This section should cover project steps and tasks, a description of what will be done and how and by whom, and the expected project outcomes and products.

The Project Description must also document, if applicable, how the applicant considered of current flood plain maps and projected sea level rise for the project location using information from the Maine Geological Survey website, <a href="http://www.maine.gov/dacf/mgs/hazards/coastal/index.shtml">http://www.maine.gov/dacf/mgs/hazards/coastal/index.shtml</a>.

#### **Project Schedule**

This section should include a project schedule covering planned phases and tasks, including projected completion dates. The project duration for Shore and Harbor Grants may not extend beyond December 31<sup>st</sup>, 2019. Applicants should plan to complete the proposed project with the initial award of funds, and should not anticipate additional funding for the same project.

#### **Project Budget**

Include a detailed budget for the funds requested. If this funding is part of a larger package of funding needed to complete this project, please indicate the source of the remaining funds and the status (e.g. "requested", "in hand"). Applicants are required to submit estimates of project costs according to the three-part format shown below.

Part 1, Personnel Expenses (Grantee staff only)

	Position Name & Title	Hourly Rate	Number of Project Hours	Salary & Fringe	Total Grantee Personnel Expenses
1.					
2.					
3.					
	Totals				

Part 2, Budget Estimates by Cost Category

Cost Category	MCP Grant	Non-Federal Match	<b>Total Cost</b>
Personnel			
Fringe Benefits			
Travel			
Equipment			
Supplies			
Contractual			
Other (specify)			
Indirect			
Totals			

#### Note:

1. MCP will not accept proposals with less than 25% non-federal match.

Part 3. Sources and Types of Match

Source of Match	Cash	In-Kind	Total
1.			
2.			
3.			
Total			

#### **Payment Schedule and Deliverables**

#### 2.4 Selection and Award Process

In addition to the Match criteria described in Section B above, the Maine Coastal Program and its partners will use the following criteria to score each application:

- Cost effectiveness (25 points)
- Applicant qualifications and capacity (15)
- Quality of proposal; project feasibility and readiness (15)
- Evidence that the proposed project will make measurable improvements in harbor planning and management and/or public access to the shore (20)
- Ability to quantify economic impact, private investment, and/or leveraged funding directly or indirectly related to this project proposal. (10)
- Consistency of project with and contribution to local and regional efforts and priorities (15)

An evaluation team, comprised of qualified reviewers, will judge the merits of the proposals received in accordance with the criteria defined in the Grant Statement.

The MCP reserves the right to communicate and/or schedule interviews/presentations if needed to obtain clarification of information contained in the proposals received. Interviews/presentations are not required, and changes to proposals will not be permitted during any interview/presentation process.

# 2.5 Application Deadline and Submission

Applications can be submitted either electronically or as a sealed hard copy. Electronic submittals are to be made to matthew.e.nixon@maine.gov with 'Shore and Harbor Grant Application' in the subject line.

Sealed applications must be clearly marked with the applicant's return address and the notation: "Shore and Harbor Grant Application". Hard copies of applications are to be mailed or delivered to: Matthew Nixon, Maine Coastal Program, 21 State House Station (mailing address), 32 Blossom Lane (physical address), Augusta, ME 04333 by no later than 2:00 PM local time on May 18<sup>th</sup>, 2018. Only applications actually received at 21 State House Station/32 Blossom Lane prior to the stated time will be considered.

The State assumes no responsibility for delays caused by any package or mail delivery service. Postmarking by the due date WILL NOT suffice. FAXED PROPOSALS WILL NOT BE ACCEPTED. Proposals received after the 2:00 PM deadline will be rejected, without exception.

For questions and additional clarification please contact Matthew Nixon <u>in writing</u> at <u>matthew.e.nixon@maine.gov</u>. Please include in the subject line <u>"Shore and Harbor Grants – Questions"</u>. A summary of questions and responses will be compiled and posted by Friday May 4<sup>th</sup>, 2018 to the following web page: <a href="http://www.maine.gov/dmr/mcp/index.htm">http://www.maine.gov/dmr/mcp/index.htm</a>.

#### SECTION 3. TERMS & CONDITIONS OF GRANT AWARDS

#### 3.1 Grant Agreement

Grant recipients must enter into a written Grant Agreement with the Maine Department of Marine Resources in the form of a standard State of Maine contract, a template of which is available for viewing at <a href="http://www.maine.gov/purchases/info/forms.html">http://www.maine.gov/purchases/info/forms.html</a> (form BP54.)

#### 3.2 Pre-Award Costs

The MCP is not liable for any cost incurred by the Grantee or any Grantee subcontractor(s) prior to the contract effective date. The MCP cannot authorize any payments prior to the effective date of a fully executed grant agreement. Liability of the State of Maine and/or the MCP is limited to the terms and conditions of the grant agreement.

# 3.3 Reporting Requirements

Semi-annual progress reports and a final report are required. The final report must include all

remaining deliverables according to the executed contract.

For projects that require the Grantee to contract with other parties, the Grantee shall provide a copy of the contractual agreement to MCP prior to submitting an invoice for reimbursement or payment.

#### 3.4 **Non-Federal Matching Funds or Services**

Grantees are obliged to document non-federal matching funds or services contributed to the project. Non-federal match contributions may include:

- Cash contributions, and/or
- In- kind contributions. In kind contribution is the value of a non-cash contribution to meet a grantee's cost sharing requirements. An in-kind contribution may consist of the value of goods or services, property and equipment or directly benefitting the MCP-funded project.

# A. Requirements.

Non-federal match contributions must be:

- 1. related directly to tasks in the project work plan;
- 2. reasonably valued for the work performed and work products produced;
- 3. conducted between the effective dates of the contract;
- 4. supported by appropriate documentation;
- 5. from non-federal sources. Personnel, projects, or services paid with federal funds do not qualify as non-federal match.

#### B. Valuation of In-Kind Contributions

- 1. Personnel Time Paid for by Project Partner Organizations: When an employer other than the grantee furnishes free of charge the services for the professional services for an assignment in the employee's area of specialization, the services will be valued at the employee's regular rate of pay, exclusive of the employee's fringe benefits and overhead costs.
- 2. Donated Supplies: The contribution must be valued at the market value of the supplies at the time of donation.
- 3. Donated Equipment or Space in a Building: The contribution must be valued at the fair market rental rate of the equipment or space
- 4. Volunteer Work: Work donated to the project by individuals must be valued at rates consistent with those ordinarily paid for similar work in the grantee's organization. For example, when documenting donated professional services as match, use the amount you would pay the person to do the job for which they are volunteering. If the grantee organization does not have employees performing similar work, the value of donated personnel time must be consistent with those ordinarily paid by other employers for similar work in the area. Maine occupational wage estimates provided by the Bureau of Labor Statistics, U. S. Department of Labor are available at: http://www.bls.gov/oes/current/oes\_me.html

5. Community participation: This refers to community members attending meetings, workshops and events organized by the grantee for work performed under this grant.

MCP will accept the most recent volunteer rate applicable to Maine from the website of Independent Sector at: <a href="https://www.independentsector.org/resource/the-value-of-volunteer-time/">https://www.independentsector.org/resource/the-value-of-volunteer-time/</a>.

# 3.5 Travel/Mileage Rate

The vehicle mileage reimbursement rate is the current rate used by the State of Maine. At the time of issuance of this program statement, that rate is \$0.44 per mile.

## APPENDIX I: MAINE'S COASTAL ZONE

Addison Edmunds Twp

Alna Eliot Arrowsic Ellsworth Arundel Falmouth Augusta Farmingdale Bangor Frankfort Bar Harbor Franklin Bath Freeport Frenchboro Beals **Belfast** Friendship Gardiner Biddeford Blue Hill Georgetown Gouldsboro **Boothbay Boothbay Harbor** Hallowell Bowdoinham Hampden Bremen Hancock Harpswell Brewer

Harrington **Bristol** Brooklin Isle au Haut Brooksville Islesboro Brunswick Jonesboro **Bucksport** Jonesport Calais Kennebunk Camden Kennebunkport

Cape Elizabeth Kittery Castine Lamoine Centerville Lincolnville Chebeague Long Island Chelsea Lubec Cherryfield Machias Columbia Machiasport Columbia Falls Marion Twp Marshfield Cranberry Isles Criehaven Twp Matinicus Isle Plt

Cumberland Milbridge

Monhegan Island Plt Cushing

Cutler Mount Desert

Damariscotta Muscle Ridge Shoals Twp

Newcastle Deer Isle Nobleboro Dennysville Dresden North Haven East Machias Northport Ogunquit **Eastport** 

Old Orchard Beach Edgecomb

Orland
Orrington
Owls Head
Pembroke
Penobscot
Perkins Twp

Perry
Phippsburg
Pittston
Portland
Prospect
Randolph
Richmond
Robbinston
Rockland
Rockport
Roque Bluffs

Saco St. George Scarborough Searsport Sedgewick

Sipayik (Pleasant Point)

Sipayik (Pleasa: Sorrento South Berwick West Bath Westport Whiting Whitneyville Winter Harbor Winterport Wiscasset Woolwich Yarmouth

York

South Bristol Southport South Portland South Thomaston Southwest Harbor

Steuben

Stockton Springs Stonington Sullivan Surry

Swans Island
Thomaston
Topsham
T7 SD
T8 SD
T9 SD
T10 SD
Tremont
Trenton
Trescott Twp
Verona
Vinalhaven
Waldoboro
Warren
Wells